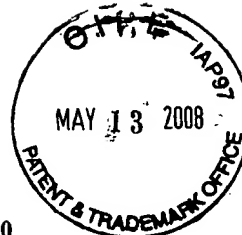


## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail** Mail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
or **Fax** (571)-273-2885



**INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

45112 7590 02/13/2008

Kunzler & McKenzie  
8 EAST BROADWAY  
SUITE 600  
SALT LAKE CITY, UT 84111

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
-----------------	-------------	----------------------	---------------------	------------------

09/801,340	03/07/2001	Don M. Simpson	STL920000077US1 05/14/2008 AWONDAF2 00000041 090460 09001340	4769
TITLE OF INVENTION: SYSTEM AND METHOD FOR IDENTIFYING WORD PATTERNS IN TEXT			01 FC:1501 1440.00 DA	
			02 FC:1504 300.00 DA	

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1440	\$300	\$0	\$1740	05/13/2008

EXAMINER	ART UNIT	CLASS-SUBCLASS
NGUYEN, CAM LINH T	2161	707-003000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☒ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Kunzler & McKenzie  
2 \_\_\_\_\_  
3 \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

International Business Machines Corporation

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Armonk, NY

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee  
☒ Publication Fee (No small entity discount permitted)  
☐ Advance Order - # of Copies \_\_\_\_\_

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

- ☐ A check is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.  
☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 090460 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

David J. McKenzie

Date

5/12/08

Typed or printed name

David J. McKenzie

Registration No.

46,919

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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**PATENT**  
**Docket No. STL920000077US1**

**IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE**

APPLICANT(S): DON M SIMPSON  
SERIAL No.: 09/801,340  
FILING DATE: MARCH 7, 2001  
TITLE: SYSTEM AND METHOD FOR IDENTIFYING WORD PATTERNS IN TEXT  
EXAMINER: CAM LINH T NGUYEN  
GROUP ART UNIT: 2161  
ATTY. DKT. No.: STL920000077US1

MAIL STOP ISSUE FEE  
COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

**TRANSMITTAL OF THE  
PAYMENT OF ISSUE FEE**

Sir:

The enclosed Payment of the Issue Fee Due is submitted herewith pursuant to 37 C.F.R § 1.67 and M.P.E.P. § 603.01 for filing in the matter of the United States patent application as hereinabove identified. A duplicate copy of this sheet is enclosed.

Please address all future correspondence in connection with the above-identified patent application to the attention of the undersigned.

Commissioner of Patents  
May 12, 2008  
Page 2

Respectfully Submitted,  
David J. McKenzie

/David J. McKenzie/

David J. McKenzie  
Reg. No. 46,919  
Attorney for Applicant

Date: May 12, 2008

Kunzler & Associates  
8 East Broadway, Suite 600  
Salt Lake City, Utah 84111  
Telephone: 801/994-4646

CERTIFICATE OF DEPOSIT UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being hand delivered or deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Commissioner of Patents and Trademarks, P.O. Box 1450, Alexandria, VA 22313-1450  
on

Respectfully submitted,

---

Transmitted: Transmittal of Payment of Issue Fee.

Please type a plus sign (+) inside this box →   



PTO/SB/05 (12/87)

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL

Note: Effective December 8, 2004.  
Patent fees are subject to annual revision.

## Complete If Known

Application Number	09/801,340
Filing Date	March 7, 2001
First Named Inventor	Don M. Simpson
Group Art Unit	2161
Examiner Name	Cam Linh T Nguyen
Attorney Docket Number	STL920000077US1

TOTAL AMOUNT OF PAYMENT \$ 1740

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: 09-0460

Deposit Account Name: IBM CORPORATION

Charge Any Additional  
X Fee Required Under  
37 CFR 1.16 and 1.17

Charge the Issue Fee  
☐ In 37 CFR at the Mailing  
of the Notice of Allowance

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☒ Other

## FEE CALCULATION

### 1. FILING FEE

#### Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	30	201	15	Utility filing fee	
111	50	211	25	Utility search fee	
131	20	231	10	Utility examination fee	
108	25	200	12	Utility size fee for each additional 50 over 100	
100	20	200	10	Provisional filing fee	

SUBTOTAL (1)

\$ 0

### 2. CLAIMS

Total Claims	Extra	Fee from below	Fee Paid
20	0	50	
Ind. Claims	3	100	
Multiple Dep. Claims	0	380	0

#### Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
120	5	220	2	Claims in excess of 20
120	20	220	10	Independent claims in excess of 3
120	38	220	18	Multiple dependent claim
120	20	220	10	Reissue independent claims over original patent
120	5	220	2	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

0

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

#### Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	13	205	6	Surcharge - late filing fee or oath	
105	5	205	2	Surcharge - late provisional filing fee or cover sheet	
105	13	105	13	Non-English specification	
181	252	181	252	For filing a request for reexamination	
180	920	180	920	Requesting publication of SIR prior to Examiner action	
180	1840	180	1840	Requesting publication of SIR after Examiner action	
125	12	225	6	Extension for reply within first month	
125	45	225	22	Extension for reply within second month	
125	102	225	51	Extension for reply within third month	
125	153	225	76	Extension for reply within fourth month	
125	216	225	108	Extension for reply within fifth month	
140	50	240	25	Notice of Appeal	
140	50	240	25	Filing a brief in support of an appeal	
140	100	240	50	Request for oral hearing	
145	151	145	151	Petition to institute a public use proceeding	
145	11	245	5	Petition to revive -unavoidably	
145	137	245	68	Petition to revive - unintentional	
150	140	250	70	Utility issue fee	1440
150	80	250	40	Design issue fee	
150	110	250	55	Plant issue fee	
146	13	146	13	Petitions to the Commissioner	
180	5	180	5	Petitions related to provisional applications	
180	18	180	18	Submission of Information Disclosure Stmt	
802	4	802	4	Recording each patent assignment per property (times number of properties)	
180	79	280	39	Request for continued examination (RCE) (37 CFR 1.114)	
180	79	280	39	Filing a submission after final rejection (37 CFR 1.129(a))	
181	79	281	39	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify) \_\_ Fee Code: 8001 Fee: 3.00 each  
Printed Copy of Patent w/o color, regular service delivery by USPS, UPSTO Box, or electronic means.

Other fee (specify) \_\_ Publication Fee

300

\*Reduced by Basic Filing Fee

SUBTOTAL (3)

\$ 1740

## SUBMITTED BY

Typed or Printed Name David J. McKenzie

Signature /David J. McKenzie/

Date

May 12, 2008

## Complete (if applicable)

Reg. Number 46,919

Deposit Account User ID

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington.